

CGIS Securities, LLC
Privacy Policy

WHAT DOES CGIS SECURITIES, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice.
What?	The types of personal information we collect, and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Passport or National ID number, Social Security, or taxpayer identification number • Home address or e-mail address • Assets, income, and financial information When you are <i>no longer</i> our customer, we continue to share your information as described in this document.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons they choose to share it; and whether you can limit this sharing.

Reasons we can share your personal information	Does CGIS share?	Can you limit this sharing?
For our everyday business purposes — Such as to process your transactions, maintain your account(s) and respond to court orders or legal investigations. This includes sharing of information with non-affiliates that provide processing, consolidation, and/or operational services, among others, in connection with functions handled by us that assist us in servicing your account better.	Yes	Yes
For our marketing purposes — To offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — Information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes — Information about your creditworthiness	No	We don't share
For non-affiliates to market to you	No	We don't share

To limit our sharing **Call (305) 507-4544**

Please note: If you are a *new customer*, we can begin sharing your information within 10 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice. However, you can contact us any time to limit our sharing.

Questions? **Call (305) 507-4544**



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Who is providing this notice?	CGIS Securities, LLC 990 Biscayne Boulevard, Office 901, Miami, FL, USA 33132
What we do	
How does CGIS protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does CGIS collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none">• open an account or• invest or deposit or withdraw money
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none">• sharing for affiliates' everyday business purposes— information about your creditworthiness• affiliates from using your information to market to you• sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.
Other important information	
Our relationship with you is one of our most vital assets. We recognize that you have entrusted us with your private financial information, and we will do our utmost to maintain this trust. From time to time, we may amend our privacy policy. You will receive appropriate notice when our privacy policy changes.	
Opt-Out	
If, at any time, you would like to opt-out of certain of these practices, please contact us. If at any time in the future, it is necessary to disclose any client personal information in a way that is inconsistent with this policy, we will give our clients advance notice of the proposed disclosure so that they will have the opportunity to opt out of such disclosure.	
Acknowledgment	
By signing our New Account Agreement, and receiving a copy of our Privacy Policy, you acknowledge and understand our current practices as it relates to information about you that we may share with others.	